# Section IV – Grant Certification Forms

***Ensure each Grant Certification Form is complete
and signed by the appropriate persons.***

* **Grant Certification Form** - **1** **(Federal Regulations)**
Signed by a Board Official, Authorized Agency Representative, and Agency Financial Representative
* **Grant Certification Form - 2 (Conflict of Interest)**Signed by a Board Official and Authorized Agency Representative
* **Grant Certification Form - 3 (Committed Funding and Operating Reserves)**
Signed by a Board Official and Authorized Agency Representative
* **Grant Certification Form - 4 (Board Authorization)**
Signed by a Board Official
* **Grant Certification Form - 5 (For Rehabilitation and New Construction Projects**)
Signed by a Board Official and Authorized Agency Representative.
*Note: If the proposal is not for a Rehabilitation or New Construction Project, write N/A on form.*

**Grant Certification Form - 1**

**City of Tulsa/GA - ESG Certifications Form**

**Applicant certifies the proposed program/project incorporates compliance with the following requirements. If selected for funding applicant acknowledges that its responsibility will be to understand and comply with the federal regulations listed below.**

|  |  |  |
| --- | --- | --- |
| **Requirements** | **Federal Regulations** | **Other References** |
| Federal Labor Standards- Davis-Bacon- Copeland Act (Anti-kickback)- Contract Work Hours and Safety Standards | 24 CFR 576.40729 CFR Parts 1, 3, 5, and 7 | Section 110, Housing and Community Development Act of 1974 (HCDA)40 U.S.C 276a-276a-5; 276c; 327 et seq. |
| Section 3 | 24 CFR 7524 CFR 576.407 | Section 3 of the HUD Act of 1968 |
| Minority and Women Business Enterprise Participation (MBE/WBE)  | Small Business Act, Section 3(a)15 U.S.C. 632 | 12 U.S.C. 1701 |
| Civil Rights and Non-Discrimination | Title VI of Civil Rights Act of 1964 Title VIII of the Civil Rights Act of 1968Section 104(b) and 109 of Title I of the Housing and Community Development Act of 197424 CFR 576.407 | Section 504 of Rehabilitation Act of 1973Americans w/Disabilities Act of 1990Age Discrimination Act of 1975Executive Orders 11063, 11246, 11375, 11478, 12107, 12086, and 13279Violence Against Women Reauthorization Act of 2013 in accordance with 42 U.S.C. 3535(d) and amended in 24 CFR parts 5 and 92 |
| Equal Employment Opportunity | 24 CFR 576.40741 CFR 60 | Executive Orders 11246; 1208612 USC 1701u |
| Fair Housing | Fair Housing Act (42 U.S.C. 3601-3619)Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d)Equal Opportunity in Housing Section 504 of the Rehabilitation Act of 1973Americans w/Disabilities Act of 199024 CFR 576.407 | 24 CFR Parts 8, 107, and 14642 U.S.C. 200(d)Executive Orders 11063, as amended by Executive Order 12259Age Discrimination Act of 1975 as amended (42 U.S.C. 6101) |
| Debarred or Ineligible Contractors | 24 CFR 24; Appendix II to Part 200 I | Executive Orders 12549 and 12689 |
| Reasonable Accommodation | 24 CFR Part 8 | Section 504 of Rehabilitation Act of 1973Americans w/Disabilities Act of 1990 |
| Fire Safety Codes | 24 CFR 576.403 | Local ordinances |
| Building and Habitability Standards | 24 CFR 576.403 | Local ordinances |
| Lead-Based Paint | 24 CFR 576.403 | 42 U.S.C 4821 et seq. |
| Anti-Lobbying | Appendix II to Part 200 J; 31 U.S.C. 1352 |  |

**Grant Certification Form – 1 (continued)**

**City of Tulsa/GA - ESG Certifications Form**

|  |  |  |
| --- | --- | --- |
| **Requirements** | **Federal Regulations** | **Other References** |
| Environmental, Historic Preservation, National Environmental Policy Act, Flood Insurance Requirements- Sitting near airports and coastal barrier- Fish and wildlife protection- Flood plain/flood insurance- National Historic Preservation- Noise abatement and control- Wetlands/Coastal zones- Air quality- Endangered species- Thermal/Explosive hazards | 24 CFR 58References at:24 CFR 58.6; 58.524 CFR 576.403 | Section 104(g), HCDA42 U.S.C 4001 et seq. |
| Relocation, Real Property Acquisitions, and One-for-One Housing Replacement- Uniform Relocation Act- Residential anti-displacement and relocation assistance | 24 CFR 576.40849 CFR Part 2442 USC 4601 et seq | Sections 104(d); 105(a)(11), HCDAwww.hud.gov/relocation |
| Competitive Procurement | 2 CFR 200.319 |  |
| Insurance and Bonding | 2 CFR 200.310 and 200.325 |  |
| Administrative and Accounting Standards | 2 CFR Part 200 |  |
| Cost Considerations | 2 CFR Part 200.402, 403, 404, 405, 406, 407 |  |
| Recordkeeping | 24 CFR 576.500 |  |
| Affirmative Outreach | 24 CFR 576.407 |  |
| Conflict of Interest | 24 CFR 576.404 and 2 CFR 200.112 |  |
| Build America, Buy America  | 2 CFR Parts 184 and 200   | Infrastructure Investment and Jobs Act (IIJA) as Sections 70901- 52 of Pub. L. No. 117-58; CPD-2023-12: <https://www.hud.gov/sites/dfiles/OCHCO/> documents/2023-12cpdn.pdf  |

**Non-compliance with all applicable laws and regulation could result in repayment to the City of Tulsa of the federal funding received.**

To the best of my knowledge and belief, I certify that all data contained in this application and all supportive documentation is true and correct and will incorporate the above requirements. Its submission has been duly authorized by the governing body of **Name of Organization**.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Date\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature/Authorized Official of Board Title

Printed Name

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Date\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature of Authorized Representative of Organization Title

Printed Name

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Date\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature of Preparer/Financial Representative of Organization Title

Printed Name

# Grant Certification Form – 2

**City of Tulsa/GA – ESG Conflict of Interest Certification Form**

The standards in 2 CFR 200.318, provide that no employee, officer, or agent shall participate in the selection, award, or administration of a contract supported by Federal funds if a real or apparent conflict of interest would be involved. Such a conflict would arise when an employee, officer, or agent, any member of his or her immediate family, his or her partner, or an organization which employs or is about to employ any of the parties indicated herein, has a financial or other interest in the firm selected for an award.

The ESG regulations at 24 CFR 576.404 provide that no person who is an employee, agent, consultant, officer, or elected official or appointed official of the recipient or subrecipient that are receiving ESG funds and (1) who exercises or has exercised any functions or responsibilities with respect to activities assisted with ESG funds; or (2) who is in a position to participate in a decision-making process or gain inside information with regard to these activities, may obtain a financial interest from a ESG-assisted activity, or have any interest in any contract, subcontract, or agreement with respect thereto, or the proceeds there under, either for themselves or those with whom they have family or business ties, during their tenure or for one (1) year thereafter.

1. Are any employees, agents, consultants, officers, family members, or elected officials of the organization requesting funds in a position to participate in the decision-making process for approval of this application? [ ]  Yes [ ]  No
2. Are any employees, agents, consultants, officers, family members, or elected officials of the organization requesting funds in a position to gain inside information with regard to approval of this application?

[ ]  Yes [ ]  No

1. Will any employees, agents, consultants, officers, family members, or elected officials of the organization requesting funds obtain a financial interest or substantial benefit from this activity? [ ]  Yes [ ]  No
2. Will any employees, agents, consultants, officers, family members, or elected officials of the organization requesting funds have an interest in any contract, subcontract, or agreement with respect to funding this application, either for themselves or those with whom they have family or business ties during the upcoming program year and one year thereafter? [ ]  Yes [ ]  No

 **If you answered YES** to any of the above questions, a letter must be submitted with the application that includes the following information:

1) A disclosure of the nature and extent of the conflict

2) A description of how public disclosure will be made

3) A qualified attorney's opinion that the conflict of interest does not violate federal, state, or local law

**Note:** If you are approved for funding, GA must issue a public notice of the disclosure with a 15-day public comment period, and then submit to HUD for approval prior to execution of a grant agreement or release of funds.

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Signature/Authorized Official of Board Title

Printed Name

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Signature of Authorized Representative of Organization Title

Printed Name

**Grant Certification Form – 3**

**City of Tulsa/GA - ESG Committed Funding & Operating Reserve Certification Form**

**Sources of Leveraged Funds:** Attach supporting documentation for committed funding sources for this proposed program/project. The documentation MUST indicate the funds are specifically for this project and will cover the grant program year (July 1, 2025 – June 30, 2026).

**City, County, State, or Federal Funds**

|  |  |  |  |
| --- | --- | --- | --- |
| **Source** | **Contact Name & Phone #** | **Amount** | **Date Received**(m/d/yyyy) |
|       |       | $      |       |
|       |       | $      |       |
|       |       | $      |       |
|       |       | $      |       |
|       |       | $      |       |
|       |       | $      |       |
|       |       | $      |       |
|  | **Sub Total** | $      |  |

**Private Funds (Attach additional pages, if necessary)**

|  |  |  |  |
| --- | --- | --- | --- |
| **Source** | **Contact Name & Phone #** | **Amount** | **Date Received**(m/d/yyyy) |
| Loans       |       | $      |       |
| Grants       |       | $      |       |
| Private Donations/Fundraising |       | $      |       |
| Donated Land/Buildings |       | $      |       |
| Donated Goods |       | $      |       |
| Donated Equipment |       | $      |       |
| Salaries–number of staff 0 |       | $      |       |
| Volunteers–# Hrs. 0 |       | $      |       |
| Volunteer Professional Services (Medical, Legal, Architects, Engineers, etc.) |       | $      |       |
| Other (specify)       |       | $      |       |
| Other (specify)       |       | $      |       |
|  | **Sub Total** | $      |  |
| **Total for ALL Funding Sources** | $      | $      |

**IMPORTANT: Amounts listed above MUST match amounts reported in the Executive Summary of this application.**

I certify that the sources of funding listed above have been identified and **committed** to support the proposed ESG program/project. I also certify that **Name of Organization** has at a minimum two months of operating funds to cover grant expenditures until reimbursement is made.

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Signature/Authorized Official of Board Title

Printed Name

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Date\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature of Authorized Representative of Organization Title

Printed Name

# Grant Certification Form - 4

City of Tulsa/GA – ESG Board Signature Authorization Form

The Board of Directors of **Name of organization requesting ESG funds** does hereby resolve that on **(M/D/YYYY)**, the Board reviewed the Application for Emergency Solutions Grant Funds to be submitted to the City of Tulsa Grants Administration for funding consideration for the upcoming program year and in a proper motion and vote approved this application for submission.

The Board further certifies that the organization making this application has complied with all applicable laws and regulations pertaining to the application and is a non-profit organization, tax exempt, and incorporated.

**Name of organization requesting ESG funds** hereby proposes to provide the program services or complete the project identified in this application in accordance with this application for Emergency Solutions Grant Funds. If this application is approved and this organization receives ESG funding from the City, this organization agrees to adhere to all relevant Federal, State and local regulations and other assurances as required by the City.

In addition, the content of the application shall be incorporated as part of the written agreement and, as such, will be used to monitor performance. Activities, commitments, and representations offered in the application that are not subsequently made a part of the program/project as funded, **shall be considered** **a material contract failure, and may result in a repayment of all ESG funds and/or suspension from Program participation.**

Furthermore, as the duly authorized representative of the organization, I certify that the organization is fully capable of fulfilling its obligation under this application as stated herein.

I further certify that this application and the information contained herein are true, correct and complete.

I authorize the following person(s) to have signatory authority regarding this grant:

Name

Title

Name

Title

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Date\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature/Authorized Official of Board Title

Printed Name

**PENALTY FOR FALSE OR FRAUDULENT STATEMENT** - U.S. Code Title 18, Section 1001, provides that a fine of up to $10,000 or imprisonment for a period not to exceed five years, or both, shall be the penalty for willful misrepresentation and the making of false, fictitious statements, knowing same to be false.

# Grant Certification Form - 5

City of Tulsa/GA – Rehabilitation Projects

**Name of organization requesting ESG funds** is applying for a rehabilitation project. The Board of Directors of **Name of organization requesting ESG funds** does hereby resolve that on **(M/D/YYYY)**, the Board reviewed the application for Emergency Solutions Grant Funds to be submitted to the City of Tulsa Grants Administration for funding consideration for this rehabilitation project for the upcoming program year and in a proper motion and vote approved this application for submission.

**Name of organization requesting ESG funds** hereby proposes to provide the program services and complete the project identified in this application in accordance with this application for Emergency Solutions Grant Funds. If this application is approved and this organization receives ESG funding from the City, this organization agrees to adhere to all relevant Federal, State and local regulations and other assurances as required by the City, including rehabilitation stipulations as contained in the City of Tulsa Ordinance 23362.

**Name of organization requesting ESG funds** hereby certifies that it has provided:

1) Demonstrated financial capacity

2) Documentation of all funding sources committed toward the total cost of this specific project (excluding the HUD grant request)

3) Documentation of site control, proper zoning, and a map showing that the proposed project is not located in a flood plain

4) An 8-1/2 x 11 schematic of the project and has provided contact information to locate the full set of plans.

5) A cost estimate provided by a licensed professional engineer for the total cost of the project

**Name of organization requesting ESG funds** further certifies that:

6) The project will commence within six (6) months from the date of the grant award

7) All HUD grant funds will be spent within two (2) years from the date of the grant award

In addition, the content of the application shall be incorporated as part of the written agreement and, as such, will be used to monitor performance. Activities, commitments, and representations offered in the application that are not subsequently made a part of the project as funded, **shall be considered** **a material contract failure, and may result in a repayment of all ESG funds and/or suspension from program participation.**

Furthermore, as the duly authorized representative of the organization, I certify that the organization is fully capable of fulfilling its obligation under this application as stated herein.

I further certify that this application and the information contained herein are true, correct and complete.

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Signature/Authorized Official of Board Title

Printed Name

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Signature of Authorized Representative of Organization Title

Printed Name